



BKL 116 (b) US

BOX: AMENDMENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Juliana H.J. Brooks and Mark G. Mortenson

Serial No.: 10/535,454

Art Unit: 2627

Filing Date: January 30, 2006

Title: Enhanced Data Storage and Retrieval Devices and Systems and Methods  
for Utilizing the Same

Certificate of Mailing Under 37 CFR §1.8(a)

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Honorable Sir:

I hereby certify that the following correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: *Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450*:

Certificate of Mailing (1 page)

Transmittal of Information Disclosure Statement (2 Pages)

Information Disclosure Citation (2 Pages)

2 Foreign Patent References (94 Pages)

International Search Report for PCT/US03/37253 (2 Pages)

International Search Report of PCT/US02/01418 (1 Page)

Return Receipt Postcard

37 C.F.R. 1.8 (a)

I hereby certify that this correspondence is being deposited with the U. S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 (37 CFR 1.8(a)) on the date shown below.

April 4, 2007  
Date

Kelly L. Storck  
Kelly L. Storck

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
BKL: 116 (b) US

In Re Application Of: Juliana H.J. Brooks and Mark G. Mortenson

APR 09 2007

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/535,454	January 30, 2006		26818	2627	4310

Title: Enhanced Data Storage and Retrieval Devices and Systems and Methods for Utilizing Same

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
BKL: 116 (b) US

In Re Application of: Juliana H.J. Brooks and Mark G. Mortenson

APR 09 2007

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/535,454	January 30, 2006		26818	2627	4310

Title: Enhanced Data Storage and Retrieval Devices and Systems and Methods for Utilizing Same

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 503536 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.****Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

April 4, 2007.

(Date)

Kelly L. Storck

Signature of Person Mailing Correspondence

Kelly L. Storck

Typed or Printed Name of Person Mailing Certificate

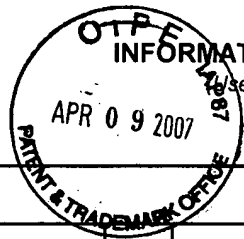
\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: 4 APRIL 2007

Mark G. Mortenson  
Reg. No. 31,182The Law Offices of Mark G. Mortenson  
P.O. Box 310  
North East, MD 21901

CC:



ATTY DOCKET NO. <b>BKL: 116 (b) US</b>	APPLICATION NO. <b>10/535,454</b>
APPLICANT(S) <b>Juliana H.J. Brooks and Mark G. Mortenson</b>	
FILING DATE <b>January 30, 2006</b>	GROUP ART UNIT <b>2627</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 5,737,301	4/7/1998	Miyamoto, et al.	396	116	5/31/1996
	US 5,430,696	7/4/1995	Tokita, et al.	369	13	4/28/1994
	US 6,031,810	2/29/2000	Nishikawa	369	121	9/16/1997
	US 5,768,221	6/16/1998	Kasami, et al.	369	14	9/19/1996
	US 6,269,066	7/31/2001	Chase, Roland	369	126	3/6/1998

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	241215	7/26/1995	New Zealand	G01D5	12		
	1,133,706	11/13/1968	Great Britain	G03g3	00		

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


**EXAMINER**

**DATE CONSIDERED**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>BKL: 116 (b) US</b>	APPLICATION NO. <b>10/535,454</b>
	Juliana H.J. Brooks and Mark G. Mortenson	
	FILING <b>January 30, 2006</b>	GROUP ART <b>2627</b>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 6,744,717	6/1/2004	Ichihara, et al.	369	53.35	5/6/2003
		US 4,660,187	4/21/1987	Yoshino, et al.	369	13	8/5/1985
		US 3,566,383	2/23/1971	MA Institute of Technology	340	174.1	4/29/1968

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS	<i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>

EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.